

Substitute for form 1449A/PTO

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets necessary)

Sheet 1

of 3

Complete if Known

Application Number	09/406,290
Filing Date	September 24, 1999
First Named Inventor	Jeffrey K. Dellinger, et al.
Group Art Unit	2761
Examiner Name	Unknown

Attorney Docket Number

37168/82045

RECEIVED

JUN 26 2001

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
CB	A.A	4,055,757		Tillman, et al.	10-25-1977	
	A.B	5,291,398		Hagan	3-1-1994	
	A.C	5,631,828		Hagan	5-20-1997	
	A.D	5,752,236		Sexton, et al.	5-12-1998	
	A.E	5,926,792		Koppes, et al.	7-20-1999	
	A.F	5,930,760		Anderton, et al.	7-27-1999	
	A.G	5,940,810		Traub, et al.	8-17-1999	
	A.H	6,058,377		Traub, et al.	5-2-2000	
	A.I	6,061,661		Hagan	5-9-2000	
V	A.J	6,064,969		Haskins	5-16-2000	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A.K							
	A.L							
	A.M							
	A.N							
	A.O							

EXAMINER

Chris Tillman

DATE CONSIDERED

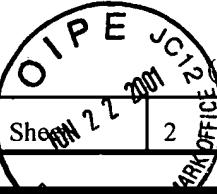
6/20/02

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Substitute for form 1449A/PTO

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Sheet

2

of

3

Complete if Known	
Application Number	09/406,290
Filing Date	September 24, 1999
First Named Inventor	Jeffrey K. Dellinger, et al.
Group Art Unit	2761
Examiner Name	Unknown
Attorney Docket Number	37168/82045

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
CB	B.A	6,064,983		Koehler	5-16-2000	
	B.B	6,064,986		Edelman	5-16-2000	
	B.C	6,085,174		Edelman	7-4-2000	
↓	B.D	6,105,865		Hardesty	8-22-2000	
	B.E					
	B.F					
	B.G					
	B.H					
	B.I					
	B.J					

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	B.K							
	B.L							
	B.M							
	B.N							
	B.O							

EXAMINER

Chen Yilmaz

DATE CONSIDERED

6/20/02

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Substitute for form 1449B/PTO

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANT
(Use as many sheets necessary)

Sheet	3	of	3	Attorney Docket Number	37168/82045
-------	---	----	---	------------------------	-------------

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CB	D.A	AnnuiSHARE™ Consumer Brochure, Version 1.20, 4/6/99; Cover: <u>AnnuiSHARE™ Lifetime Income Annuity; SHAREways to an enhanced and secure future in retirement</u> ; (see inside cover, pages 3-6, inside back cover and back cover).	
	D.B		RECEIVED
	D.C		JUN 26 2001
	D.D		Technology Center 2100
	D.E		
	D.F		
	D.G		
	D.H		
	D.I		
	D.J		

EXAMINER

Chris Buchanan

DATE CONSIDERED

6/20/02

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231